IN THE SUPREME COURT OF THE NORTHWEST TERRITORIES

IN THE MATTER OF:

HER MAJESTY THE QUEEN

- v -

MATTHEW JAMES KUPTANA

Transcript of the Reasons for Sentence delivered by The

Honourable Justice L. A. Charbonneau, sitting in Inuvik, in the Northwest Territories, on 19th day of January, 2017.

APPEARANCES:

Mr. A. P. Godfrey: Counsel for the Crown

Mr. J. K. Bran: Counsel for the Accused

(Charge under s. 271 of the Criminal Code of Canada)

No information shall be published in any document or broadcast or transmitted in any way which could identify the victim or a witness in these proceedings pursuant to s. 486.4 of the Criminal Code

THE COURT: This morning I have to impose a sentence on Matthew Kuptana for the sexual assault that he committed on M. K. on April 26, This is following a sentencing hearing 2015. that occurred this week in Inuvik. procedural history of this case is unusual and it raised unusual issues in the context of this hearing. I am going to refer to those briefly this morning, but as I said yesterday, given the very limited amount of time I had to prepare these Reasons, I am going to file written Reasons later on addressing these issues in more detail. In those Reasons I will also outline in more detail the reasons for the credibility findings that I made after hearing the evidence presented at the sentencing hearing.

The events leading to the charge occurred in the early morning hours on April 26, 2015.

Ms. K reported the matter to the authorities the same day during the evening. Mr. Kuptana was charged that same night. Although his initial choice as to mode of trial was to be tried by a court composed of a judge and a jury and to have a preliminary hearing, he eventually waived that preliminary hearing and re-elected to be tried by a judge of this Court sitting alone. The waiver of the preliminary hearing and the re-election

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occurred in October 2015.

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On December 4, 2015, Michael Martin, who was Mr. Kuptana's counsel at the time, wrote to this court indicating that Mr. Kuptana wished to plead guilty to this charge in another unrelated sexual assault charge. On December 14, 2015 Mr. Kuptana appeared before this Court in Yellowknife and entered guilty pleas to those charges. At the time the pleas were entered Mr. Martin confirmed that they were voluntary and met the requirements of Section 606(1.1) of the Criminal Code. The sentencing hearing for both matters was scheduled to proceed here in Inuvik at Mr. Kuptana's request.

Some time before the date scheduled for the sentencing the Court received a request to have the matter spoken to on a regular Criminal Chambers date in Yellowknife. On April 13th Mr. Kuptana appeared again in Yellowknife, and on that date Mr. Martin applied to be removed as counsel of record. That application was granted. Mr. Kuptana's current counsel, Mr. Jay Bran, was also in attendance and indicated he was taking over the representation of Mr. Kuptana. There was reference at that time to the possibility of an application to strike the guilty pleas.

Counsel agreed that the scheduled Inuvik

sentencing date should be cancelled given the change of counsel and the time new counsel needed to get instructions and determine what the next steps should be. Counsel eventually confirmed that there would be an application to withdraw the guilty plea with respect to the charge involving Ms. K.

That application proceeded on August 8, 2016. The Court dismissed the application on August 23, 2016. The reasons for decision are now reported at 2017 NWTSC 4. Counsel later advised that a sentencing hearing with *viva voce* testimony would have to take place because they had not been able to reach an agreement on an Agreed Statement of Facts on either matter.

The sentencing hearing on the charge involving Ms. K was scheduled to proceed this week. The sentencing hearing on the other matter where facts are also disputed is currently scheduled to proceed next month in Inuvik. The hearings were scheduled to proceed on different weeks at the request of counsel, given that there was going to be contested evidence, and there was a strong prospect that the Court would have to make findings of credibility of the witnesses, including the credibility of Mr. Kuptana.

The sentencing hearing proceeded this week

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as scheduled. Ordinarily, when viva voce evidence is called at a sentencing hearing, it because there is a dispute about some of the alleged facts and that those facts are considered sufficiently important by Crown, by Defence or both, to be the subject of testimony. The rules of evidence that govern a sentencing hearing are somewhat relaxed. The party that wishes to rely on a disputed fact has the burden of proving on a balance of probabilities. An aggravating fact, or a prior conviction that is disputed by Defence, must be proven by the Crown beyond a reasonable doubt. These things are provided for in the Criminal Code at Sections 723 and 724 and the principles were also outlined in R. v. Gardiner, 1982 2 S.C.R. 368.

What was very unusual in this case was this:
The issue at the sentencing hearing was not
merely about a disputed fact or a disputed
aggravating factor, it was actually a disputed
element of the offence. Mr. Kuptana admitted
sexual contact with Ms. K but claimed it was
consensual. In other words, he claimed at his
sentencing hearing that he was not guilty of this
charge notwithstanding his guilty plea.

This gave rise to a number of issues and many potential problems depending on what the

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1 Court's assessment of the evidence would be.

For today's purposes, as I said at the outset, I want to focus on the matters that are relevant to the sentence that should be imposed on Mr. Kuptana.

I will simply reiterate now what I said briefly yesterday before I heard sentencing submissions. My assessment of the evidence has led me to reject Mr. Kuptana's version of how the sexual contact came to happen and his claim that it was consensual. I accept Ms. K's version of how the sexual contact occurred, and it is on that basis that I will sentence Mr. Kuptana.

Mr. Kuptana, of course, is entitled to know why I rejected his evidence and accepted Ms. K's testimony. I want to take the time to write these reasons out to explain properly how I came to this conclusion. I simply did not have sufficient time last night or this morning to do so. I will file written Reasons about this and they should be treated as a supplement to what I am saying this morning. Otherwise I would have had to adjourn this matter and I did not want to do that. I think it is in Mr. Kuptana's interest to be sentenced today and perhaps have better access to programs that might assist him with his rehabilitation because we frequently are told

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that although prisoners on remand have access to programs in the jails, they are considered to be a lower priority than serving prisoners.

I will now summarize the circumstances of the offence based on the findings that I have made.

At the time of these events Ms. K lived in Ulukhaktok. Mr. Kuptana, who is also originally from that community, lived in Inuvik with his common-law spouse of some thirty years. Their daughter Sandy, who was in her early twenties at the time, also lived with them. Mr. Kuptana's spouse is Ms. K's aunt, and Ms. K considered Mr. Kuptana as her uncle. She had a good relationship with them.

Ms. K came to Inuvik for a visit. The initial plan was for her to stay with some other people in Inuvik but in the end she stayed at the Kuptana residence. On the Saturday night Ms. K, Mr. Kuptana and Mr. Kuptana's spouse played TV bingo for a while at the residence. They drank beer while they did this.

After the beer ran out Ms. K suggested that they go out to the Trappers bar. The three of them went to the Trappers at around midnight and stayed there until closing time. They then returned to the residence. Although it is clear

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that everyone was drinking, it is not entirely clear how much beer everyone had, and that is not surprising. However, there is no suggestion of anyone having become grossly intoxicated that night, and it appears everyone had a good time throughout the evening and that there were no problems or anything unusual about the evening.

A short time after they returned to their residence it appears everyone retired to their bedrooms. Mr. Kuptana and his spouse went to their room, and Ms. K went to the spare room where she had been staying. All the rooms are in the upstairs portion of the house, as is the bathroom.

Ms. K went to sleep on a mattress on the floor. She was wearing clothes on the upper part of her body. Although her evidence-in-chief was that she was also wearing clothes on the lower part of her body, she acknowledged in cross-examination that it was possible that she removed those clothes when she went to the bathroom before going to sleep and that she went back to bed without putting them back on. On the whole I was left with a reasonable doubt as to whether she actually still was wearing those clothes when she laid down and went to sleep. To the extent that the Crown's allegation is that

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Mr. Kuptana removed part of her clothing before sexually assaulting her and to the extent that this could be characterized as an aggravating fact, I do not find that fact has been established beyond a reasonable doubt.

Ms. K woke up to Mr. Kuptana lying on top of her. He was having sexual intercourse with her. She told him to get out of her room. She tried pushing him off but he was too heavy. He continued having intercourse with her. Eventually she stopped trying to push him off. All he said to her during all of this was "you're so tight nobody fuck you, you're so tight nobody fuck you". When he was finished he got up and left the room. She stayed in the bedroom, curled up and went to sleep.

In the morning Ms. K left the house and went walking around. She did not tell anyone right away about this. She returned to the Kuptana residence a few times during the day. Later on in the evening she went to see a friend and told her what happened. That same night she reported the matter to the police. Mr. Kuptana was arrested the same evening. He was initially released but he was arrested in September of 2015 in relation to an unrelated matter. He has been in custody since, a total of 488 days, roughly

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16 months. Crown and Defence are in agreement that he should be credited for this remand time as part of this sentencing proceeding and that the credit should be granted to him on a ratio of one and a half day credit for each day of remand.

I will turn now to the circumstances of Mr. Kuptana. He is 46 years old and is Inuvialuit. He is comfortable speaking English but his first language is Inuvialuktun. born in Ulukhaktok. He has been in a relationship with his spouse for almost 30 years and they have four children. They got together when they were teenagers, and Mr. Kuptana was in fact still a teenager when they had their first He has lived in Inuvik since around 2007, 2008 but returns to Ulukhaktok regularly. He learned to hunt and trap at a young age and since a young age has carried out traditional activities out on the land. He has been going back to Ulukhaktok to pursue those activities, and he said he hopes to be able to continue those activities in the future.

Alcohol and drugs have been a problem for Mr. Kuptana. He has a criminal record which spans from 1992 to 2014. There are gaps of a few years here and there on his record. His lawyer advised that those gaps correspond to periods of

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time where Mr. Kuptana's drinking was under control. The periods where there are clusters of convictions correspond to periods of time where he was drinking more heavily and also using drugs.

Mr. Kuptana's parents abused alcohol when he was growing up. As a child he often saw his parents drunk. There was a considerable amount of domestic violence in the home. Mr. Kuptana's father beat his mother up on a regular basis. Mr. Kuptana often saw his mother with black eyes. He told his counsel that his father used his mother as a punching bag. This appears to have continued for years up until she was diagnosed with cancer in the early eighties. Like so many victims of domestic violence, his mother never reported the abuse she was suffering to the authorities, and Mr. Kuptana's father was never held accountable for the way he treated her.

I have no doubt that growing up in this kind of environment had an impact on Mr. Kuptana and may have contributed to his own violence. I note that he has one conviction for an assault that is identified on his criminal record as a spousal assault. Sadly, we know that many children who grow up with violence repeat the pattern when they are adults. This is particularly so with

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domestic violence.

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Mr. Kuptana started drinking when he was 13 or 14 years old, and he identifies this as having been a problem for him pretty much from the start.

Mr. Kuptana stopped going to school in Grade 5. In his evidence at the hearing he explained that he was bullied in school and that made him not want to go. I gather that his parents tried to convince him and make him go back, but the bullying continued and eventually he did not go back, and his parents stopped trying to make him go back. Although he stopped going to school at a young age and does not have a lot of formal education, it is noteworthy that Mr. Kuptana was still able to hold various forms of employment both in Ulukhaktok and in Inuvik. It is obvious that he has skills and is able to be a productive member of this community when his drinking is under control.

Mr. Kuptana is an Aboriginal offender and I have taken judicial notice of systemic and background factors that have affected Aboriginal people in this country and have contributed to the over-representation of the Aboriginal population in Canadian jails. I have also taken into account the factors specific to

Mr. Kuptana's upbringing and background, the things that his counsel talked about, including his early exposure to a dysfunctional home environment where there was considerable alcohol abuse and violence.

Mr. Kuptana's counsel conceded that given the nature of the offence there is no realistic alternative to imprisonment in terms of sentencing options, but he asks that I take into account Mr. Kuptana's circumstances in assessing his level of blameworthiness for this crime. I have done so and I have taken all of this into account, while at the same time recognizing, as the Crown noted, that the victim of this crime is an Aboriginal woman who was exposed to the same systemic factors and disadvantages that Mr. Kuptana was exposed to. Aboriginal people and Aboriginal communities are entitled to the same protection from the law as non-Aboriginal persons in non-aboriginal communities.

Sexual assault is a serious crime and it is unfortunately very prevalent in our communities; it causes profound harm. That harm is felt no less by Aboriginal victims in Aboriginal communities than anywhere else. That harm has to be recognized and reflected on sentencing through sentences that make it clear that this conduct is

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not tolerable. The court obviously does not have the power to address and resolve the root causes of these crimes but it has a duty, through its sentences, to continue, relentlessly, to send a message about how serious it is to take advantage of someone and violate their personal and sexual integrity for one's own sexual gratification.

This case is no different. This sexual assault caused great harm to this victim. prepared a Victim Impact Statement back in October 2015. In that statement she describes the effect that this crime had on her. things she writes about, the effect that this crime had on her, is similar to what we often see in Victim Impact Statements in sexual assault She talks about not ever being able to cases. trust again, how she feels damaged, broken. also talks about having lost her relationship with her aunt and cousin who she was close to before all of this. Beyond the harm the assault itself caused, she has suffered other losses.

Counsel noted that the Victim Impact
Statement was completed more than a year ago and
has not been updated. Counsel commented that it
would have been helpful to have an update to know
whether the feelings she expressed in the Victim
Impact Statement might have changed or evolved or

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whether there has been any change or beginning of restoration of her relationship with her aunt and cousin for instance. Ms. K was told by the Crown of the possibility of updating her Victim Impact Statement and she chose not to do so. She knows what she wrote, and she chose not to add to it or change it. I infer from this that what she wrote in the Victim Impact Statement is still current.

Counsel also noted the evidence that Ms. K returned to the Kuptana house a few times after the incident before she reported it to police.

On the day in question, she did return and she interacted with Mr. Kuptana. If this submission was intended to suggest that Mr. Kuptana's actions had perhaps less impact on Ms. K than one might expect, I reject that suggestion categorically.

Under the circumstances, given her connection with these people and that she had been staying there, her return to the residence does not belie her assertion in the Victim Impact Statement that the sexual assault affected her profoundly. As was noted by the Supreme Court of Canada and by many other courts, there is no "standard reaction" or "normal reaction" to being sexually assaulted. Continued contact with the abuser is not unheard of; quite the contrary.

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Some victims are in contact with their abuser for years before they speak out, and some never speak out. Ms. K's conduct after the day this happened, her return to the Kuptana house, and the fact that she did not report this immediately that night or the next morning say nothing, in my view, about the impact that this crime had on her.

Besides, if there is any question about whether the sexual assault still affects Ms. K the answer came in the clearest of ways during her testimony this week, almost two years after these events. I observed her and heard her when she testified. Because of the configuration of this courtroom she was a few feet away from me when she testified. When she got to the point of describing what Mr. Kuptana did to her she became extremely upset. She started to shake, she cried, she had difficulty speaking. On several occasions she heaved and it appeared to me she was about to throw up on the witness stand. was abundantly clear to me during her evidence that these events continue to have a severe impact on Ms. K.

The sexual assault committed by Mr. Kuptana was a major sexual assault as defined in the case of *R. v. Arcand*, 2010 ABCA 363, which was adopted

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by our Court of Appeal in R. v. A.J.P.J., 2011

NWTCA 02. This means that the starting point on sentencing is three years. From this starting point the sentence must be adjusted to reflect any aggravating and mitigating features that exist in the case. A starting point is not a minimum sentence and it is not a mandatory sentence. It is simply a gauge that assists the court in crafting an appropriate sentence that meets the objectives of sentencing and reflects the seriousness of the conduct.

Here there are aggravating features. The first is that Mr. Kuptana is Ms. K's uncle. She was a guest in his house and had been a guest in his house before. She had every reason to trust and no reason to expect this to happen when she went to sleep that night. This offence involved a terrible breach of the trust between them.

The second aggravating factor is that she was in a particularly vulnerable position when this happened because she was sleeping. Our Court of Appeal has expressly recognized this as an aggravating factor in A.J.P.J. at paragraph 12.

Mr. Kuptana's criminal record is also aggravating. There are a number of convictions for crimes of violence on that record, although

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in fairness, he has no conviction for a sexual offence and has never received lengthy jail terms for any of the convictions on his record. This offence is by far the most he has been convicted of. Still, he has been in trouble over the years as a result of his abuse of alcohol.

There is no evidence, as I have already mentioned, that he or anyone else were grossly intoxicated the night of these events. During his testimony he said that he did not think the alcohol he had that night had any effect on him. I do not accept that Mr. Kuptana's actions that night were completely unrelated to his consumption of alcohol. I think alcohol played a part in his conduct that night, and I think he needs to think very seriously about the damage that alcohol has caused in his life up to now, the damage that he has caused to others, including those he loves, while under the influence of alcohol.

I am required to take into account any mitigating factors that exist and in this case I find there are none. I accept counsel's submission that this sexual assault was an opportunistic and impulsive act as opposed to something that was planned or premeditated. I have no difficulty with that submission, but the

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spontaneity of an act is not a mitigating factor. It simply underscores the absence of premeditation which would, if it was present, be an aggravating factor. The difference between a mitigating factor and the absence of an aggravating factor was also something that was underscored by the Court of Appeal in A.J.P.J.

Defence counsel did not ask me to take Mr. Kuptana's quilty plea into account in mitigation, and rightfully so. Mr. Kuptana made every attempt to resile from that plea, and as a result of his position Ms. K had to testify at this hearing, something that as I have already noted, appeared exceedingly difficult for her. The guilty plea has no mitigating value in this Defence counsel noted that for at least a case. short period of time after Mr. Kuptana entered his plea, Ms. K may have had some relief from the stress that she might be experiencing about the prospect of having to testify about this. I think is that it must have been very difficult for her to be told roughly a year ago that Mr. Kuptana had pleaded guilty in this matter, that he was accepting responsibility and that there would be no trial, that she would not have to testify, only to find out months later that in fact he was no longer admitting the facts and she

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would have to testify. Whatever comfort she might have had for the period of time where she thought she would not have to testify is by far outweighed by having had to go through this process and have it drag on until this week.

When he was given a chance to speak
yesterday Mr. Kuptana said he does not blame
Ms. K, that he takes responsibility for what
happened, and he hopes that she recovers from it.
He apologized and I understood him to say that he
hoped there could be healing from all of this and
that he would have the opportunity to be a better
uncle to her.

Of course one always hopes that there can be healing. But Mr. Kuptana's expression of remorse is at odds with his testimony, just hours before. In his evidence he did not accept responsibility, in the sense that he admitted sexual contact but he portrayed his victim as having been the initiator in all of this; he portrayed her as having been quite provocative in the way she initiated all of this. Mr. Kuptana's trial testimony was that when he peeked into her room after having gone to the washroom, when she saw him she lifted both her legs up in the air, spread them and exposed her vagina to him without saying a word. He also said that she appeared to

enjoy the sexual contact, and that after he was finished and got up she turned around, placed herself on all fours facing away from him with her buttocks facing him, which could only be interpreted, I think, as an invitation by her to continue the sexual activity.

The clear implication of Mr. Kuptana's evidence and his position at this hearing was that his niece falsely accused him of this terrible crime to avoid potential consequences to herself if her aunt found out about their encounter; that she lied under oath and faked her emotions on the witness stand, all of this to shift responsibility from herself. I find it very contradictory that he would paint his victim with that brush and hours later claim to take responsibility and be sorry for what he did. is not as though Mr. Kuptana had a lot of time reflect and gain insight into this matter between the time he testified and the time he offered those comments: all of this happened within the span of a few hours. All this to say it is difficult for me to reconcile his expression of sorrow and apology with his sworn testimony.

I certainly hope that Mr. Kuptana will at one point come to terms with what he has done and take full responsibility for it, but it is

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difficult for me to be convinced that he is remorseful or has any insight into his conduct at this point. Again, absence of remorse is not an aggravating factor but genuine remorse is a mitigating factor. I am unable to find any indication of genuine remorse in this case.

I do accept that applying the principles set out by the Supreme Court of Canada in *Gladue* and *Ipeelee*, there are things in Mr. Kuptana's background as an Aboriginal offender that reduce his blameworthiness somewhat. But as I have already noted, this has to be balanced against the seriousness of the offence, its prevalence in this jurisdiction, parity and the other sentencing principles and objectives.

The Crown is asking me to impose a jail term between three and four years. Defence is asking me to impose a jail term of two and a half years. Those positions are not as drastically far apart as we sometimes see.

In light of the aggravating factors I cannot see a basis for imposing a sentence below the three-year starting point. The presence of aggravating factors, in particular the breach of trust and the added vulnerability of the victim, require the imposition of a sentence that is higher than the starting point, even taking into

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account the need for particular restraint when
dealing with the sentencing of Aboriginal
offenders.

On the whole, in my view, the sentence has to be in excess of the starting point in order to properly reflect the seriousness of this crime and the harm done to the victim.

The Crown has asked for a number of ancillary orders and we will deal with those This is a primary designated offence so there will be a DNA order. It is also mandatory that I make an order that Mr. Kuptana comply with the Sexual Offender Information Registration Act for a period of 20 years. It is mandatory that I impose a victim of crime surcharge because I do not have any discretion to waive it under the present state of the law, so I make that order as I will also order that any exhibits seized well. in this matter will be disposed of or returned to their rightful owner, whichever is most appropriate, at the expiration of the appeal period. There will also be a Section 109 firearms prohibition order commencing today and expiring ten years after release.

Having heard the positions of counsel and having heard evidence about Mr. Kuptana's involvement with traditional activities, work

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activities and sustenance activities out on the land, I will grant him an exemption pursuant to Section 113 of the Criminal Code for both employment and sustenance purposes. I have taken into account the factors that I am required to consider in such matters including the fact that Mr. Kuptana's criminal record does not include convictions involving the use of firearms, the fact that there were no firearms used in this particular offence and the fact that there was no extraneous violence in the commission of this offence. A sexual assault is an inherently violent offence but in this case there was no additional physical violence used during the commission of the act.

In imposing sentence I will also, of course, take into consideration the time that Mr. Kuptana has spent on remand.

Mr. Kuptana, I will ask you to stand please.

Mr. Kuptana, for the sexual assault on M. K., but

for the time that you spent on remand I would

have sentenced you to a term of imprisonment of

44 months, that is three years and eight months.

For the 488 days that you have spent on remand I

will give you credit for 24 months. That is the

maximum credit I am allowed to give you under the

law. So there will be a further term of

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1	imprisonment of 20 months. You can sit down.
2	Mr. Kuptana, it is clear you have skills,
3	you have traditional land skills, you have work
4	skills, and you have been able to stay out of
5	trouble for periods of time in your life. I hope
6	that you recognize you also have it in you to do
7	very bad things when you consume alcohol. I know
8	this is the longest time you will ever have spent
9	in jail, and I really hope that you are able to
10	use that time to help you overcome the issues in
11	your past and your issues with alcohol and drugs,
12	so that when you are released you can contribute
13	to your community in the way I know you can and
14	that we never see you back again before the
15	courts.
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2	CERTIFICATE OF TRANSCRIPT
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4	I, the undersigned, hereby certify that the
5	foregoing pages are a complete and accurate
6	transcript of the proceedings taken down by me in
7	shorthand and transcribed from my shorthand notes
8	to the best of my skill and ability.
9	Dated at the City of Edmonton, Province of
10	Alberta, this 29th day of January, 2017.
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12	Certified Pursuant to Rule 723 of the Rules of Court
13	of the Rules of Court
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15	Damar
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18	Darlene Sirman, CSR(A)
19	Court Reporter/Examiner
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