



Manitoba Securities Commission

Staff Notice 2018-37

Notice of Amendments to Fee Regulation under *The Securities Act*

Manitoba Regulation 1(2)(q.7) & (q.8)

The Government of Manitoba, through Cabinet, has approved amendments to the fee Regulation under *The Securities Act* (the "Act") which have been enacted effective October 3, 2018.

The amendments have added a fee of \$1,150 to the International Dealer Exemption under Section 8.18 of Rule 31-103 and the International Adviser Exemption under Section 8.26 of Rule 31-103 under the Act for registration matters. If the firm is filing under both exemptions concurrently, the fee is \$1,150.

The fee collection will be required upon filing an initial Form 31-103F2 Submission to Jurisdiction and Appointment of Agent for Service and upon filing of an annual notice for continued reliance.

So long as the firm continues to rely on the exemption, it must file an annual notice with The Manitoba Securities Commission under Subsection 8.26(5) and/or Subsection 8.18(5) by email or letter. A cheque in the amount of \$1,150 in Canadian funds made payable to The Minister of Finance is required by December 1st of each year.

A listing summarizing the applicable fee changes is attached to this Notice.

Any questions should be addressed to Sue Henderson, Deputy Director, Registrations at (204) 945-2548. The notice can be emailed to registrationmsc@gov.mb.ca. Cheques should be mailed to 500 – 400 St. Mary Avenue, Winnipeg, MB R3C 4K5, attention: Registrations.

Chris Besko
Director and General Counsel
The Manitoba Securities Commission
October 3, 2018

Amendments to fees assessed under *The Securities Act*

Summary of fee changes resulting from amendments to Manitoba Regulation

Description of service	Fee	Section of Regulation
Fee to register an International Dealer Exemption ¹	\$1,150	1(2)(q.7)
Fee to register an International Adviser Exemption ¹	\$1,150	1(2)(q.7)
Fee to register an International Dealer/Adviser Exemption ¹	\$1,150	1(2)(q.7)
Annual fee to register an International Dealer Exemption ²	\$1,150	1(2)(q.8)
Annual fee to register an International Adviser Exemption ²	\$1,150	1(2)(q.8)
Annual fee to register an International Dealer/Adviser Exemption ²	\$1,150	1(2)(q.8)

Notes:

1. If a Form 31-103F2 is filed for both exemptions concurrently the fee is \$1,150
2. The annual fee is \$1,150 if the firm has both exemptions

This chart is intended to be a summary of the fee changes for general information only. It is recommended that users refer directly to the fee regulation for specific legal wording.