

CSA Staff Notice 11-339

Notice of Local Changes in Alberta

November 1, 2018

From time to time, a local jurisdiction may amend a national or multilateral instrument or change a policy or companion policy that affects activity only in that jurisdiction. The CSA recognize that such a local amendment or change may nonetheless be of interest or importance beyond the local jurisdiction and CSA staff are issuing this notice to identify changes implemented in Alberta. For public convenience, CSA members in other jurisdictions will update the text of the applicable material on their websites to reflect these local changes.

The local changes referred to in this notice comprise those shown in Annex A of this notice from Alberta to:

- Companion Policy 45-102 *Resale of Securities*

The text of this policy consolidation on the websites of CSA members will be updated as necessary to reflect these local changes. For further background on Annex A, see ASC Notice of Repeal and Replacement of Alberta Securities Commission Rule 72-501 *Distributions to Purchasers Outside Alberta* dated August 10, 2018. You may direct questions regarding this notice to:

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ANNEX A

**CHANGES TO
COMPANION POLICY 45-102 *RESALE OF SECURITIES***

- 1. *Companion Policy 45-102 Resale of Securities is changed by this Document.***
- 2. *Subsection 1.1(3) is changed by replacing the words “Alberta Securities Commission Blanket Order 45-519 Prospectus Exemptions for Resale Outside Canada” with the words “sections 10 and 11 of Alberta Securities Commission Rule 72-501 Distributions to Purchasers Outside Alberta”.***
- 3. These changes became effective on August 31, 2018.**