

# THE SECURITIES ACT

Order No. 7201

Sections 148, 19(5), 148.3 and 8)

October 14, 2015

# **ANAND HARIHARAN**

## WHEREAS:

- (A) On March 31, 2015, the Ontario Securities Commission ("OSC") issued an order against Anand Hariharan ("OSC Order");
- (B) On August 27, 2015, The Manitoba Securities Commission ("Commission") issued a Notice of Hearing ("Notice"), subsequently amended on October 6, 2015, giving notice of its intention to hold a hearing pursuant to section 148.4 of *The Securities Act*, R.S.M. 1988, c. S50 as amended ("Act") to consider whether or not it was in the public interest to grant orders with respect to Anand Hariharan ("HARIHARAN");
- (C) HARIHARAN, self-represented, has consented to the issuance of this order and has waived his right to a full hearing;
- (D) The Commission is of the opinion that it is in the public interest to make this order.

### IT IS ORDERED:

- 1. THAT, pursuant to section 148 of the Act, HARIHARAN cease trading in securities until and including March 31, 2025, except that HARIHARAN be permitted to trade in:
  - (a) mutual fund, exchange-traded fund or index fund securities, bonds, guaranteed investment certificates, for the account of any registered retirement savings plans, tax-free savings accounts and self-directed retirement savings plans in which HARIHARAN and/or his spouse have sole legal and beneficial ownership, and such trading is carried out through a registered dealer in Canada to whom he must give a copy of this order at the time he opens or modifies these accounts; and
  - (b) shares of Jazz Aviation HARIHARAN is entitled to purchase or is provided as a result of HARIHARAN's employment with Jazz Aviation, and all such trading is carried out through a registered dealer in Canada to whom he must give a copy of this order at the time he opens or modifies these accounts.

- **2. THAT**, pursuant to subsection 19(5) of the Act, subsection 19(1) of the Act, with respect to such of the trades referred to in that subsection, and subsection 19(2) of the Act, with respect to such of the securities referred to in that subsection, do not apply to HARIHARAN until and including March 31, 2025.
- **THAT,** pursuant to sections 148.3 and 8 of the Act, HARIHARAN be prohibited from becoming or acting as a registrant, an investment fund manager, a promoter, or as a director or officer of any of those entities, until and including March 31, 2025.

### BY ORDER OF THE COMMISSION

Director