THE SECURITIES ACT	) Order No.
and Multilateral Instrument 31-102	) 4107
	)
National Registration Database (MSC Rule 2002-5) and MULTILATERAL INSTRUMENT 33-109 REGISTRATION INFORMATION (MSC Rule 2002-6)	) ) )
Section 6.1 of MI 31-102	) <b>April 30,</b>
Section 7.1 of MI 33-109	2003

Certain Exemptions under

Multilateral Instrument 31-102 *National Registration Database* ("MI 31-102") and Multilateral Instrument 33-109 *Registration Information* ("MI 33-109")

## WHEREAS:

- (A) The National Registration Database ("NRD") was launched on March 31, 2003;
- **(B)** MI 31-102 and MI 33-109 contain a number of provisions that establish timelines for the correction and provision of information about registered firms, registered individuals, and non-registered individuals (the "transition requirements");
- (C) Data downloaded onto NRD from the Commission's prior registration information system is less consistent with current information about registered firms, registered individuals and non-registered individuals than expected;
- (**D**) The Director has decided to issue this order providing for an extension of the time period during which the transition requirements must be fulfilled to ensure that registered firms, registered individuals, and non-registered individuals have sufficient time to fulfil the transition requirements;
- (E) The Director has determined that it is in the public interest to make this order;

## IT IS ORDERED:

- **1. THAT**, pursuant to sections 6.1 of MI 31-102:
  - (a) a transition firm is exempt from the requirement under section 7.4 of MI 31-102 to submit a completed Form 33-109F3 in the time required under section 7.4 provided that the completed Form 33-109F3 is submitted by September 30, 2003;
  - (b) a transition firm is exempt from the requirement under section 7.6 of MI 31-102 to submit a completed Form 33-109F4 in the time required under section 7.6 provided that the completed Form 33-109F4 is submitted by September 30, 2003;

(c) a registered individual is exempt from the requirement under section 7.9(1)(a) of MI 31-102 to submit a completed Form 33-109F4 in the time required under section 7.9(1)(a) provided that the completed Form 33-109F4 is submitted by September 30, 2003;

## **2. THAT**, pursuant to section 6.1 of MI 33-109:

- (a) a registered firm is exempt from the requirement under sections 8.2(a) and 8.4 of MI 33-109 to submit a completed Form 33-109F4 in the time required under sections 8.2(a) and 8.4 provided that the completed Form 33-109F4 is submitted by September 30, 2003;
- (b) a registered firm is exempt from the requirement under section 8.2(c) of MI 33-109 to submit a completed Form 33-109F3 in the time required under section 8.2(c) provided that the completed Form 33-109F3 is submitted by September 30, 2003;
- (c) a registered firm is exempt from the requirement under section 8.3 of MI 33-109 to submit a completed Form 33-109F3 in the time required under section 8.3 provided that the completed Form 33-109F3 is submitted by September 30, 2003.

Director - Registrations