

| THE SECURITIES ACT) Sections 144(1)(c), 148, 19(5), and 148.3 |) | Order No. 6830 |
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| |) | October 23, 2013 |

LEHMAN BROTHERS & ASSOCIATES CORP. and GREG MARKS

WHEREAS:

- (A) On May 29, 2012, the Ontario Securities Commission ("OSC") issued an order against Lehman Brothers & Associates Corp. and Greg Marks ("OSC Order");
- (B) On September 6, 2013, The Manitoba Securities Commission ("Commission") issued a Notice of Hearing ("Notice") giving notice of its intention to hold a hearing pursuant to section 148.4 of *The Securities Act*, R.S.M. 1988 c. S50 as amended ("Act") with respect to Lehman Brothers & Associates Corp. ("LEHMAN CORP.") and Greg Marks ("MARKS");
- (C) On October 23, 2013, this hearing matter came before a panel of the Commission;
- **(D)** Upon reviewing the documentary evidence and hearing the submissions of counsel for staff of the Commission, no one appearing for the respondents, and upon being satisfied as to service upon the respondents, the Commission is of the opinion that it is in the public interest to make this order.

IT IS ORDERED:

- 1. THAT, pursuant to section 144(1)(c) of the Act, in the circumstances of this matter, the method of service of the Notice of Hearing upon the respondents be by posting a copy of the Notice of Hearing on the Commission's website and, furthermore, the posting already completed be and is hereby validated as constituting service upon the respondents.
- 2. THAT, pursuant to section 144(1)(c) of the Act, notice of this order to the respondents be made by posting a copy of this order on the Commission's website.
- **3. THAT**, pursuant to section 148 of the Act, LEHMAN CORP. and MARKS cease trading in securities permanently.
- **4. THAT**, pursuant to subsection 19(5) of the Act, subsection 19(1) of the Act, with respect to such of the trades referred to in that subsection, and subsection 19(2) of the Act, with respect to such of the securities referred to in that subsection, do not apply to LEHMAN CORP, and MARKS permanently.

5. THAT, pursuant to section 148.3 of the Act, MARKS resign all positions that he may hold as a director or officer of an issuer and be prohibited permanently from becoming or acting as a director or officer of any issuer.

BY ORDER OF THE COMMISSION

Director

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