

THE SECURITIES ACT)	Order No. 6740
)	
Sections 148, 19(5), and 148.3)	June 5, 2013

MOHINDER AHLUWALIA

WHEREAS:

- (A) On January 4, 2013, the Ontario Securities Commission ("OSC") issued an order against Mohinder Ahluwalia;
- **(B)** On April 2, 2013, The Manitoba Securities Commission ("Commission") issued a Notice of Hearing ("Notice") giving notice of its intention to hold a hearing to consider whether or not it was in the public interest to grant orders pursuant to *The Securities Act*, R.S.M. 1988 c. S50 as amended ("Act") with respect to Mohinder Ahluwalia ("AHLUWALIA");
- (C) On June 5, 2013, this hearing matter came before a panel of the Commission;
- **(D)** Upon reviewing the documentary evidence and hearing the submissions of counsel for staff of the Commission, no one appearing for the respondent, and upon reviewing the Affidavit of Service, filed, and being satisfied as to service upon the respondent, the Commission is of the opinion that it is in the public interest to make this order.

IT IS ORDERED:

- 1. THAT, pursuant to section 148 of the Act, AHLUWALIA cease trading in securities permanently with the exception that immediately following the Director of the Commission having received confirmation of AHLUWALIA having fully satisfied payment to the OSC of all monetary sanctions imposed under the OSC Order, AHLUWALIA is permitted to trade in securities for his own account, solely through a registered dealer or, as appropriate, a registered dealer in foreign jurisdiction, which dealer must be given a copy of this order, in:
 - (a) any "exchange-traded security" or "foreign exchange-traded security" within the meaning of National Instrument 21-101, provided that he does not own beneficially or exercise control or direction over more than five percent of the voting or equity securities of the issuer(s) of any such securities; or
 - (b) any security issued by a mutual fund that is a reporting issuer.

- **2. THAT**, pursuant to subsection 19(5) of the Act, subsection 19(1) of the Act, with respect to such of the trades referred to in that subsection, and subsection 19(2) of the Act, with respect to such of the securities referred to in that subsection, do not apply to AHLUWALIA permanently.
- **3. THAT**, pursuant to section 148.3 of the Act, AHLUWALIA resign all positions he may hold as a director or officer of any issuer and be prohibited permanently from becoming or acting as a director or officer of any issuer.

BY ORDER OF THE COMMISSION

Director Ziaz