

THE SECURITIES ACT	)	Order No. 6364
	)	
Sections 148 and 19(5)	)	July 6, 2011

## ROBERT CLAIRE PILLING

## WHEREAS:

- (A) On November 23, 2010, the Alberta Securities Commission ("ASC") issued a Decision against Robert Claire Pilling ("PILLING");
- (B) On April 15, 2011, The Manitoba Securities Commission ("Commission") issued a Notice of Hearing ("Notice") giving notice of its intention to hold a hearing to consider whether or not it was in the public interest to grant orders pursuant to Section 148.4 *The Securities Act*, R.S.M. 1988, c. S50 as amended ("Act") with respect to PILLING;
- (C) This hearing matter came before a panel of the Commission on July 6, 2011;
- (D) Upon reviewing the documentary evidence and hearing the submissions of counsel for staff of the Commission, no one appearing for the respondent PILLING, and upon reviewing the Affidavit of Service, filed, and being satisfied as to service upon the respondent PILLING, the Commission is of the opinion that it is in the public interest to make this order.

## IT IS ORDERED:

- 1. THAT, pursuant to sections 148 and 19(5) of the Act, PILLING cease trading in securities and subsection 19(1) of the Act, with respect to such of the trades referred to in that subsection, and subsection 19(2) of the Act, with respect to such of the securities referred to in that subsection, do not apply to PILLING until and including November 23, 2020, except that this order does not preclude PILLING from trading in or purchasing securities through a registrant, who has first been given a copy of this order, in:
  - (a) one account for the benefit of PILLING;
  - (b) registered retirement savings plans, registered retirement income funds, registered education savings plans, or locked-in

retirement accounts for the benefit of one or more of PILLING, his spouse, and his children, or;

(c) both.

## BY ORDER OF THE COMMISSION

Director, Legal and Enforcement