



THE SECURITIES ACT

Sections 148 and 19(5)

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Order No. 6363

July 6, 2011

**DANIEL NEIL MCLEOD**

**WHEREAS:**

(A) On November 23, 2010, the Alberta Securities Commission ("ASC") issued a Decision against Daniel Neil McLeod ("McLEOD");

(B) On April 15, 2011, The Manitoba Securities Commission ("Commission") issued a Notice of Hearing ("Notice") giving notice of its intention to hold a hearing to consider whether or not it was in the public interest to grant orders pursuant to Section 148.4 *The Securities Act*, R.S.M. 1988, c. S50 as amended ("Act") with respect to McLEOD;

(C) On June 1, 2011, the Commission issued Order No. 6330 providing for substitutional service to be made upon McLEOD by e-mail and adjourning this matter to July 6, 2011 at 9:00 a.m.;

(D) On July 6, 2011, this hearing matter again came before a panel of the Commission;


(E) Upon reviewing the documentary evidence and hearing the submissions of counsel for staff of the Commission, no one appearing for the respondent McLEOD, and upon reviewing the Affidavit of Service, filed, and being satisfied as to service upon the respondent McLEOD, the Commission is of the opinion that it is in the public interest to make this order.

**IT IS ORDERED:**

1. **THAT**, pursuant to sections 148 and 19(5) of the Act, McLEOD cease trading in securities and subsection 19(1) of the Act, with respect to such of the trades referred to in that subsection, and subsection 19(2) of the Act, with respect to such of the securities referred to in that subsection, do not apply to McLEOD until and including November 23, 2018, except that this order does not preclude McLEOD from trading in or purchasing securities through a registrant, who has first been given a copy of this order, in:

- (a) one account for the benefit of McLEOD;
- (b) registered retirement savings plans, registered retirement income funds, registered education savings plans, or locked-in retirement accounts for the benefit of one or more of McLEOD, his spouse, and his children; or
- (c) both.

**BY ORDER OF THE COMMISSION**

  
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Director, Legal and Enforcement