

**AMENDMENTS TO  
NATIONAL INSTRUMENT 14-101  
DEFINITIONS**

**PART 1      AMENDMENTS**

**1.1          Amendments**

(1) National Instrument 14-101 Definitions is amended by

- (a) replacing the definitions of “Canadian securities directions”, “Canadian securities legislation” and “Canadian securities regulatory authorities” in subsection 1.1(3) by the following definitions:

“Canadian securities directions” means “provincial and territorial securities directions”;

“Canadian securities legislation” means “provincial and territorial securities legislation”;

“Canadian securities regulatory authorities” means “provincial and territorial securities regulatory authorities”;

- (b) adding the following definition to subsection 1.1(3) after the definition of “Handbook” :

“IDA” means the Investment Dealers Association of Canada;

- (c) replacing the definition of “insider reporting requirement” in subsection 1.1(3) by the following definition:

“insider reporting requirement” means the requirement in securities legislation for an insider of a reporting issuer to file reports disclosing:

- (a) the insider’s direct or indirect beneficial ownership of, or control or direction over, securities of the reporting issuer, and
- (b) any change or changes to such ownership of, or control or direction over, securities of the reporting issuer;

- (d) replacing the definition of “jurisdiction” in subsection 1.1(3) by the following definition:

“jurisdiction” or “jurisdiction of Canada” means a province or territory of Canada except when used in the term foreign jurisdiction;

- (e) adding the following definitions to subsection 1.1(3) after the definition of “local jurisdiction” :

“MFDA” means Mutual Fund Dealers Association of Canada;

“MRRS” means the review system for applications and filings made in more than one jurisdiction in Canada known as the Mutual Reliance Review System established by Memorandum of Understanding dated October 14, 1999;

- (f) deleting the definitions of “multilateral instrument” and “national instrument” in subsection 1.1(3) ;

- (g) adding the following definitions to subsection 1.1(3) after the definition of “prospectus requirement” :

"provincial and territorial securities directions" means the instruments listed in Appendix A;

"provincial and territorial securities legislation" means the statutes and the other instruments listed in Appendix B;

"provincial and territorial securities regulatory authorities" means the securities commissions and similar regulatory authorities listed in Appendix C;

- (h) adding the following definition to subsection 1.1(3) after the definition of “securities regulatory authority” :

“SEDAR” means the computer system for the transmission, receipt, acceptance, review and dissemination of documents filed in electronic format known as the System for Electronic Document Analysis and Retrieval;

- (i) replacing the title to Appendix A by the following title:

**PROVINCIAL AND TERRITORIAL SECURITIES  
DIRECTIONS;**

- (j) adding to the list in Appendix A:

Nunavut	The policy statements and the written interpretations issued by the securities regulatory authority.
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- (k) replacing the title to Appendix B by the following title:

PROVINCIAL AND TERRITORIAL SECURITIES  
LEGISLATION;

- (l) adding to the list in Appendix B:

Nunavut	<i>Securities Act</i> and the regulations under that Act and the blanket rulings and orders issued by the securities regulatory authority.
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- (m) replacing the title to Appendix C by the following title:

PROVINCIAL AND TERRITORIAL SECURITIES  
REGULATORY AUTHORITIES;

- (n) adding to the list in Appendix C:

Nunavut	Registrar of Securities, Nunavut
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- (o) adding to the list in Appendix D:

Nunavut	Registrar, as defined under section 1 of the <i>Securities Act</i> (Nunavut)
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PART 2 EFFECTIVE DATE

2.1 This amendment shall come into force on \*\*\*\*\*, 2002.