



THE MANITOBA
SECURITIES
COMMISSION

IN THE MATTER OF: *THE SECURITIES ACT*

- and -

**IN THE MATTER OF: INVESTORS GROUP FINANCIAL SERVICES INC. and
INVESTORS GROUP SECURITIES INC.**

NOTICE OF HEARING

TAKE NOTICE that The Manitoba Securities Commission (the "Commission") will hold a public hearing (the "Hearing") pursuant to subsection 8(1) and 148.1(1) of The Securities Act at its offices at 500 – 400 St. Mary Avenue, Winnipeg, Manitoba on Wednesday, the 15th day of December, 2017, commencing at 9:00 o'clock in the forenoon or so soon thereafter as the Hearing can be held, and from day to day thereafter until the Hearing is concluded, to consider:

1. Whether it is in the public interest to approve a Settlement Agreement dated December 13, 2017, between Staff of the Commission and Investors Group Financial Services Inc. and Investors Group Securities Inc. (the "Respondents").
2. Whether it is in the public interest that the Respondents be ordered to pay the costs of the investigation and hearing.
3. Whether the Hearing to consider the foregoing be held in camera.
4. Such further and other matters as counsel may advise and the Commission may permit.

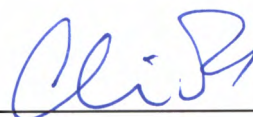
BY REASON OF a proposed Settlement Agreement between Investors Group Financial Services Inc. and Investors Group Securities Inc. and Staff of the Commission dated December 13, 2017.

AND FURTHER TAKE NOTICE that a person or company attending or submitting evidence at the Hearing may be represented by counsel of its choice.

AND FURTHER TAKE NOTICE that any party to the proceedings may, at the Hearing, call witnesses and submit such evidence relevant to the Hearing as it may wish. And, for that purpose, it may obtain from the Director of the Commission at Room 500 – 400 St. Mary Avenue, Winnipeg, Manitoba, R3C 4K5, a form or forms of summons to compel the attendance of witnesses.

AND FURTHER TAKE NOTICE that upon failure of any party to attend at the time and place aforesaid, the Hearing may proceed in that party's absence and the Commission may make or give any decision or order as though that party were present.

DATED at Winnipeg, Manitoba this 13th day of December, 2017.



Director

**TO: INVESTORS GROUP FINANCIAL SERVICES INC. and
INVESTORS GROUP SECURITIES INC.**