## IN THE MATTER OF: THE SECURITIES ACT

-and-

## IN THE MATTER OF: ROBERT CHARLES NAPPER

## NOTICE OF HEARING

TAKE NOTICE that The Manitoba Securities Commission (the "Commission") will hold a public hearing (the "Hearing") at its offices at Room 1130 – 405 Broadway, Winnipeg, Manitoba on Thursday, the 16th day of March, 2000 commencing at 9:30 o'clock in the forenoon or so soon thereafter as the Hearing can be held, and from day to day thereafter until the Hearing is concluded, to consider:

- 1. whether or not it is in the public interest to order, pursuant to subsection 8(1) of The Securities Act (the "Act"), that the registration of Robert Charles Napper ("Napper") as a salesman under the Act in the employ of Wellington West Capital Inc. be suspended or cancelled;
- 2. whether or not it is in the public interest to order pursuant to subsection 19(5) of the Act that subparagraph (a) of subsections 19(1) and 19(3) of the Act do not, with respect to such of the trades referred to in those sections, apply to Napper, subsection 19(2) of the Act does not, with respect to such of the securities referred to in that section, apply to Napper;
- 3. whether or not it is in the public interest to order that Napper pay the costs of the investigation and hearing in this matter;
- 4. such further and other matters and the making of such further and other orders as the Commission may deem appropriate.

## BY REASON OF THE FOLLOWING ALLEGATIONS:

By reason of the allegations set out in the Statement of Allegations of staff of the Commission dated January 31, 2000 that the conduct of Napper in the matters set out was contrary to the Act, the Regulation to the Act and to the public interest.

AND FURTHER TAKE NOTICE that a person or company attending or submitting evidence at the Hearing may be represented by counsel of its choice.

AND FURTHER TAKE NOTICE that any party to the proceedings may, at the Hearing, call witnesses and submit such evidence relevant to the Hearing as it may wish and, for that purpose, it may obtain from the Director of the Commission at Room 1130-405 Broadway, Winnipeg, Manitoba, a form or forms of summons to compel the attendance of witnesses.

AND FURTHER TAKE NOTICE that upon failure of any party to attend at the time and place aforesaid, the Hearing may proceed in that party's absence and the Commission may make or give any decision or order as though that party were present.

**DATED** at Winnipeg, Manitoba this 31st day of January, 2000.

Director - Legal

TO: ROBERT CHARLES NAPPER