



**Consensual Agreement and Undertaking**

("Agreement")

Bylaw 7(5)(3)(b)

between

**The Complaints and Investigation Committee**

("Committee")

of

**The General Insurance Council of Saskatchewan**

("Council")

and

**Bernice Seright and Charlie Seright o/a North West Agencies**

("North West")

1. North West acknowledges and agrees that it violated Bylaws when:
  - a) contrary to Bylaw 8(2)(g) it violated provisions of the Act, the regulations or the bylaws when it:
    - i. acted contrary to Schedule A, Part II(6)(1) when it failed to maintain registration with ISC Corporate Registry.
  - b) contrary to Bylaw 8(2)(q) it failed to follow sound business practices when it failed to maintain its registration on the Saskatchewan Corporate Registry but continued to maintain an in force insurance licence in Saskatchewan from December 31, 2014 to February 25, 2019, totaling 1516 days.
2. North West's right to appear before a Discipline Committee of Council has been explained and it fully understand(s) its rights and/or obligations under Council Bylaw 10;
3. North West waives its right to appear before a Discipline Committee and undertakes not to exercise any appeal rights it may have under Council Bylaw 10, Section 3 or *The Saskatchewan Insurance Act*, ("Act") as it relates to matters set out in this Agreement.
4. This Agreement does not preclude Council from pursuing any further investigation against North West for future activities not identified in this Agreement that may be in violation of Council Bylaws or the Act.



**Consensual Agreement and Undertaking**  
**Bernice Seright and Charlie Seright o/a North West Agencies**

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5. North West has been advised by the Committee that it is in its interests to obtain independent legal advice before entering into this Agreement. North West has either:
  - a) obtained such independent legal advice and is satisfied with the same, prior to executing this Agreement; or
  - b) willingly chosen not to obtain such advice prior to executing this Agreement.
6. North West hereby affirms that it has read and understands the terms of this Agreement and is signing it voluntarily and of its own free will.

North West, having waived its rights, undertakes to pay all fine and investigative costs within 30 days of this Agreement, totaling \$1330.

North West will ensure Corporate registration is in place while it holds and active insurance licence in Saskatchewan.

**The Committee’s Agreement:**

1. The Committee agrees to accept from North West payment of \$1330 as full and final resolution of this violation of Council’s Bylaws as outlined in this Agreement.
2. The Committee further agrees to take no further action against North West for the acknowledged violations of Council’s Bylaws that are set out in this Agreement.

Dated at Buffalo  
Narrows, in the province of, SK, this 12<sup>th</sup> day of June, 2019  
(Town/City) (Month) (Year)

Originally signed by Bernice Seright Insurance Broker  
Signature Print name and title

**On behalf of Bernice Seright & Charlie Seright o/a North West Agencies**

Dated at Regina, in the province of, SK, this 19<sup>th</sup> day of June, 2019  
(Town/City) (Month) (Year)

Originally signed by  
**Lauren Major, Co-Chair, Complaints and Investigation Committee**  
**General Insurance Council of Saskatchewan**



## Consensual Agreement and Undertaking

Bernice Seright and Charlie Seright o/a North West Agencies

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Dated at Regina, in the province of, SK, this 19th day of June, 2019  
(Town/City) (Month) (Year)

Originally signed by \_\_\_\_\_

**Anne Parker, Co-Chair, Complaints and Investigation Committee  
General Insurance Council of Saskatchewan**

Bylaw 5, Section 1, subsection 1 reads: *“The administrator shall, in addition to the requirements for the contents of a register required by the Act and the regulations, record on the register the existence and results of all disciplinary decisions or orders, court orders, alternative dispute resolution agreements, undertakings and mediation agreements involving a licensee or a person to whom the council has the authority to issue a licence.”* Disciplinary actions as described are reported on the Insurance Councils of Saskatchewan website and the Canadian Insurance Regulators Disciplinary Actions (CIRDA) database.