

Monica Agius
Registration Number: D007912
Toronto, Ontario

HEARING DATE: December 13, 2018

MISCONDUCT: Ontario Regulation 991, ss 14(1), 14(5) and 14(6)

PARTICULARS OF THE COMPLAINT:

At all material times Monica Agius was a registered insurance broker conducting business in the Toronto, Ontario area.

As a result of information received by RIBO, it appears that Monica Agius may have committed misconduct by:

- obtaining confidential information while employed at a brokerage without the consent of the client; and
- used this information to contact the client.

DISCIPLINE COMMITTEE'S DISPOSITION:

The Discipline Committee accepted the plea of guilty by Monica Agius and accepted the evidence adduced by RIBO in support of the plea of guilty, including an Agreed Statement of Fact and Joint Submission as to penalty, signed on November 21, 2018 by Monica Agius and finds that Monica Agius is guilty of misconduct as set out above. The Discipline Committee ordered:

- (a) That Monica Agius is hereby reprimanded for her actions.