

Gougeon, Rene

January 10, 2011

Regulatory Body: Insurance Council of Manitoba

Decision Maker: General Insurance Council

Agent fined \$2,500.00 and assessed partial investigation costs of \$2,500.00 in violation of *The Insurance Act* of Manitoba; Section 375 (1) and the General Insurance Agent Code of Conduct Section 1 - Integrity, Section 2 - Competence, Section 3 - Quality of Service, Section 4 - Advising Clients, Section 7 - Manner of Service, Section 10 - Conduct Towards Others and Section 11 - Safekeeping and Preserving of Property and File Records. In addition, the agent was required to have direct supervision by a supervisor acceptable to Council as a requirement of licensing, and to enroll and complete CAIB I and a Professional Liability (E&O) program.