

Tat, Henry

September 21, 2010

Regulatory Body: Insurance Council of Manitoba

Decision Maker: Life Insurance Council

Agent fined \$2,500.00, assessed partial investigation costs in the amount of \$1,250.00, and his licence was suspended for four weeks for fronting an unlicensed agent in violation of *The Insurance Act* of Manitoba Sections 375 and 378 (1) - May not offer compensation to prospect, and Life Insurance and Accident and Sickness Agent's Code of Conduct Section 1 - Priority of Policyowner Interests, Section 2 - Confidential Information, Section 3 - Misrepresentation, Section 5 - Sharing of Compensation and Section 10 - Good Faith and Compliance with Law.

.