



AltaGas Ltd.

Code of Conduct Regulation Compliance Plan Compliance Filing

May 24, 2017

Alberta Utilities Commission

Decision 22498-D01-2017

AltaGas Ltd.

Code of Conduct Regulation Compliance Plan Compliance Filing

Proceeding 22498

Application 22498-A001

May 24, 2017

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1 Introduction

1. In Decision 21623-D01-2016,¹ the Alberta Utilities Commission approved an interim *Code of Conduct Regulation* compliance plan for AltaGas Ltd. (AltaGas) and directed it to file a compliance filing application by March 31, 2017, for a revised compliance plan in accordance with the directions contained within the decision.
2. The directed compliance filing application, including a response to the Commission's directions² in Decision 21623-D01-2016 and a revised compliance plan,³ were submitted by AltaGas on March 24, 2017. The Commission issued a notice of application on March 28, 2017,⁴ requesting written submissions by April 11, 2017. No submissions were received. On May 4, 2017, the Commission issued a letter stating that April 11, 2017 was considered to be the close of record for this proceeding.⁵ However, on May 12, 2017, AltaGas identified two typographical errors and submitted an amended revised compliance plan (2017 compliance plan) with the two changes included. The Commission, therefore considers May 12, 2017 the final date for the close of record.
3. In reaching the determinations set out within this decision, the Commission has considered all materials comprising the record of this proceeding. Accordingly, references in this decision to specific parts of the record are intended to assist the reader in understanding the Commission's reasoning relating to a particular matter, and should not be taken as an indication that the Commission did not consider all relevant portions of the record with respect to a particular matter.

2 Background

4. The compliance filing application in this proceeding was filed in accordance with the Commission directions in Decision 21623-D01-2016.
5. AltaGas revised Section VII(a) Policy 1, Mechanism 1 and Mechanism 2, and Section VIII(C), Policy 2 and Mechanism 2 of the 2017 compliance plan, which is consistent with sections 17 and 27 of the *Code of Conduct Regulation* and the Commission's directions in Decision 21623-D01-2016. AltaGas has also made other minor formatting or incidental edits, including two numbering changes in its 2017 compliance plan. All of these changes were clearly

¹ Decision 21623-D01-2016: AltaGas Ltd. Code of Conduct Compliance Plan, Proceeding 21623, Application 21623-A001, December 16, 2016.

² Exhibit 22498-X0004, AltaGas Code of Conduct Regulation Compliance Plan Directions and Response, March 24, 2017.

³ Exhibit 22498-X0002, AltaGas Code of Conduct Regulation Compliance Plan, March 24, 2017.

⁴ Exhibit 22498-X0006, Notice of Application - Proceeding 22498, March 28, 2017.

⁵ Exhibit 22498-X0007, AUC Letter - Close of record for Proceeding 22498, May 4, 2017.

shown in a revised version of the interim approved compliance plan that was filed for the convenience of the Commission.

6. AltaGas submitted with regard to Section 17 of the *Code of Conduct Regulation* requirements, that it does not have any current or future operational cost efficiency arrangements requiring the Commission's opinion, and that will make an application to the Commission if it considers that a future cost efficiency arrangement in its operations warrants one.⁶

3 Commission findings

7. The Commission has reviewed the 2017 compliance plan and finds that the changes directed in Decision 21623-D01-2016 have been correctly reflected, and agrees with AltaGas' additional editorial revisions. The Commission therefore approves the 2017 compliance plan as filed by AltaGas, effective on the date of this decision.

8. AltaGas noted that "Upon approval AltaGas will add an effective date to the Compliance Plan, distribute it, post it on the AltaGas website and update AltaGas Personnel on their ongoing obligations."⁷ Pursuant to Section 31(4) of the *Code of Conduct Regulation*, and as stated by AltaGas, the Commission expects AltaGas to post the 2017 compliance plan on its website and to distribute it to AltaGas' officers, employees, agents and contractors.

4 Order

9. It is hereby ordered that:

- (1) AltaGas Ltd.'s *Code of Conduct Regulation* 2017 compliance plan is approved as filed, effective May 24, 2017.

Dated on May 24, 2017.

Alberta Utilities Commission

(original signed by)

Anne Michaud
Commission Member

⁶ Exhibit 22498-X0004, AltaGas Code of Conduct Regulation Compliance Plan Directions and Response, PDF page 4, March 24, 2017.

⁷ Exhibit 22498-X0004, AltaGas Code of Conduct Regulation Compliance Plan Directions and Response, PDF page 3, March 24, 2017.