

# Penalties and Decisions

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**Licensee:** ROYAL CANADIAN LEGION, KINGSWAY BRANCH #175, THE

**Location:** EDMONTON

**Address:** 14339 50 Street NW

**Date Issued:** April 09, 2024

**Infraction:** Charitable Gaming Policies Handbook Section 4.4.1

Gaming proceeds must only be spent on charitable purposes approved by AGLC.

**Administrative Sanction:** FINE - \$100

**Outcome:** Fine Paid

**Infraction:** Pull-Ticket Terms and Conditions Section J.1

The licensee is required to keep accurate financial records. The following forms must be used: a) Pull-Ticket Inventory Reconciliation; and b) Pull-Ticket Inventory and Sales Record.

**Administrative Sanction:** FINE - \$100

**Outcome:** Fine Paid

**Infraction:** Raffle Terms & Conditions Section 10.2.3

All raffle records must be kept for a minimum of two years after the last draw date. Other reporting bodies may require records to be retained for longer. These records include, but are not limited to:

- a) bank statements;
- b) cheques that cleared the bank account/digital image cheques;
- c) invoices/receipts;
- d) ticket inventory control sheets;
- e) list of prize winners;
- f) all unsold tickets (if applicable);
- g) all ticket stubs of sold tickets or electronic entries;
- h) contact information of the executive members (or delegates) that witnessed the raffle draw;
- i) letter of agreement with a commercial outlet (if applicable);
- j) contract with registered raffle ticket manager (if applicable);
- k) letter of understanding or memorandum of agreement with other charitable organizations (if applicable);
- l) audiovisual recording of the ticket draw (if applicable);
- m) prize appraisals (if applicable);
- n) prize vehicle transportation logs (if applicable);
- o) payroll records (if applicable); and
- p) all business and financial records of any entity (including but not limited to societies, non-profit organizations, associations, community leagues, corporations, partnerships, limited partnerships, joint ventures, proprietorships, etc.) that receives any of the licensed charitable organization's gaming proceeds either directly, indirectly, or through a series of transactions.

**Administrative Sanction:** WARNING

**Outcome:** Accepted