
Licensee: ST. ALBERT MINOR BASEBALL ASSOCIATION

Location: ST ALBERT

Address: PO Box 218 Stn Main

Date Issued: November 27, 2023

Infraction: Charitable Gaming Policies Handbook Section 4.4

Gaming proceeds shall only be spent on charitable and religious purposes approved by AGLC.

Administrative Sanction: FINE - \$25

Outcome: Fine Paid

Infraction: Charitable Gaming Policies Handbook Section 2.3.1

To be eligible for gaming licensing, the applicant group must have: a) a broad based volunteer membership which represents the community at large; b) Alberta resident volunteer members and executive who establish, maintain control of, and deliver the group's programs; c) 75 per cent or more of its executive democratically chosen from its volunteer base (a maximum 25 per cent of the group's executive may be appointed; no more than 25 per cent of the executive members may reside outside Alberta); d) a board of directors or executive that are not paid for their roles or paid to provide services to the group, including income, honorariums, dividends, shared, or transfers of property; e) programs that benefit a significant segment of the community, not member's self-interest or individual/personal benefit; f) a not-for-profit objective; g) groups applying for a licence for which licence fees are charged must be incorporated.

Administrative Sanction: WARNING

Outcome: Accepted

Infraction: Raffle Terms & Conditions Section 10.2.3

All raffle records must be kept for a minimum of two years after the last draw date. Other reporting bodies may require records to be retained for longer. These records include, but are not limited to:

- a) bank statements;
- b) cheques that cleared the bank account/digital image cheques;
- c) invoices/receipts;
- d) ticket inventory control sheets;
- e) list of prize winners;
- f) all unsold tickets (if applicable);
- g) all ticket stubs of sold tickets or electronic entries;
- h) contact information of the executive members (or delegates) that witnessed the raffle draw;
- i) letter of agreement with a commercial outlet (if applicable);
- j) contract with registered raffle ticket manager (if applicable);
- k) letter of understanding or memorandum of agreement with other charitable organizations (if applicable);
- l) audiovisual recording of the ticket draw (if applicable);
- m) prize appraisals (if applicable);
- n) prize vehicle transportation logs (if applicable);
- o) payroll records (if applicable); and
- p) all business and financial records of any entity (including but not limited to societies, non-profit organizations, associations, community leagues, corporations, partnerships, limited

Penalties and Decisions

partnerships, joint ventures, proprietorships, etc.) that receives any of the licensed charitable organization's gaming proceeds either directly, indirectly, or through a series of transactions.

Administrative Sanction: WARNING

Outcome: Accepted